

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
HIR-139

In Re Application Of: **Masat Katayama**

Serial No.
09/931,193

Filing Date
15 AUG 2001

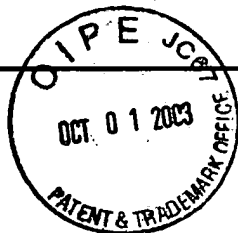
Examiner
PRYOR, ALTON NATHANIEL

Group Art Unit
1616

Title:

ROOTS-INDUCING AGENT OF PLANTS AND ITS TREATMENT METHOD

*11/ Prior
Art w/act*



Address to:

**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

10/06/2003 CCHAU1 00000101 09931193

01 IC:1806

180.00 DP

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
HIR-139

In Re Application: **Masato Katayama**

Serial No.
09/931,193

Filing Date
15 AUG 2001

Examiner
**PRYOR, ALTON
NATHANIEL**

Group Art Unit
1616



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of **180.00** is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **12-2147** as described below.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on **29 SEP 2003** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

JEFFREY D. WASHVILLE

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Signature

Dated: **29 SEP 2003**

JEFFREY D. WASHVILLE, REG. NO. 46,366
LORUSSO LOUD & KELLY LLP
440 COMMERCIAL STREET
BOSTON, MA 02109
PHONE (603) 427-0070
FAX (617) 723-4609

CC:

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

HIR-139

Application Number

09/931,193

Applicant(s)

MASATO KATAYAMA

Filing Date

15 AUG 2001

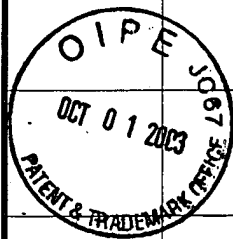
Group Art Unit

1616

*EXAMINER

INITIAL

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)



Masato Katayama et al, "Identification of 4-Chloroindole-3-acetic Acid and Its Methyl Ester in Immature Seeds of Vicia amurensis (the Tribe Viciaeae), and Their Absence from Three Species of Phaseoleae", Plant Cell Physiol. 28(2): 383-386 (1987)

Masato Katayama et al, ""Localization of 4-Chloroindole-3-acetic Acid In Seeds of Pisum sativum and Their Absence from All Other Organs", Plant Cell Physiol. 29(5): 889-891 (1988)

Kayo Yoshimatsu et al, "Iaa Derivative Induced Tropane Alkaloid Production In Root Cultures of A Duboisia Hybrid", Phytochemistry, Vol. 29, No. 11, pp. 3525-3528, (1990)

Katsuya Kato et al, "Crystal Structure of 4-Chloroindole-3-acetic Acid", Analytical Sciences, The Japan Society for Analytical Chemistry, pp. 881-882, Vol. 9, (December 1993)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.